

Nicole F. Gagliardi

COMPLIANCE SPECIALIST

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Overview

Nikki Gagliardi is a Compliance Specialist with the ChoateIA team. She works closely with the Chief Compliance Officer on compliance initiatives to ensure all policies and procedures are met with regulatory requirements.

Prior to joining ChoateIA, Nikki was a Principal, Managing Director and Chief Compliance Officer at Lowell, Blake & Associates Inc. for nearly 20 years where she was responsible for ensuring the firm met all SEC regulatory requirements.

Education

- Salem State College, BS

Related Services

Investment Management

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